SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Sec	tion 30(n) of	the Investment Company Act of 19	940				
1. Name and Address of Reporting Person* <u>Wang David H</u> 2. Date of Event Requiring Statement (Month/Day/Year) 11/02/2017			ment	3. Issuer Name and Ticker or Trading Symbol <u>ACM Research, Inc.</u> [ACMR]						
(Last) (First) (Middle) C/O ACM RESEARCH, INC. 42307 OSGOOD ROAD, SUITE I					4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner Conficer (give title Other (specify			 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check 		
(Street) FREMONT CA 94539					A below) See Remarks			Applicable Line) X Form filed by One Reporting Person Form filed by More than One		
(City)								Reporting P	erson	
			Table I - No	n-Derivat	ive Securities Beneficiall	y Owned				
1. Title of Security (Instr. 4)					Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect ((Instr. 5)	ership 4. Nature of Indirect Beneficial Ownership Direct (D) (Instr. 5) rect (I)			
Class A Common Stock					166,667	D				
Class B Common Stock					1,146,934	D				
Class A Common Stock					60,000	I	Fam	By the David Hui Wang and Jing Ch Family Irrevocable Trust for Wang Children		
Class B Common Stock					7,334	I	Fam	By the David Hui Wang and Jing G Family Irrevocable Trust for Wang Children		
Class A Common Stock					206,667	I	By the Wang-Chen Family Living		n Family Living Trus	
Class B Common Stock					33,334	Ι	By J	By Jing Chen, wife of David H. Wang		
Class B Common Stock					117,334	I	By B	By Brian Wang, son of David H. Wang		
Class B Common Stock					117,334	I		By Sophia Wang, daughter of David H Wang		
		(6			e Securities Beneficially nts, options, convertible		5)			
1. Title of Deriva	ative Security	(Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security		or Exercise	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security			
Stock Option			(1)	05/01/2021	Class A Common Stock	133,334	0.75	D		
Stock Option	tock Option		(2)	05/01/2021	Class A Common Stock	166,667	0.75	D		
Stock Option	k Option		(3)	05/01/2025	Class A Common Stock	400,000	1.5	D		
Stock Option	ck Option		(4)	12/27/2026	Class A Common Stock	333,334	3	D		
Stock Option		(5)	12/27/2026	Class A Common Stock	18,334	3	I	By Sophia Wang, daughter of David H. Wang		

Explanation of Responses:

1. Option was fully vested on May 1, 2011.

2. Option was fully vested on May 1, 2011.

3. The option vested and became exercisable as to 100,000 shares on May 1, 2016 and the remaining 300,000 shares vest and become exercisable in equal monthly installments over the following 36 months, subject to continued service through each vesting date.

4. The option will vest and become exercisable as to 83,333 shares on January 1, 2018 and the remaining 250,001 shares will vest and become exercisable in equal monthly installments over the following 36 months, subject to continued service through each vesting date.

5. The option vested and became exercisable as to 9,167 shares on July 5, 2017 and the remaining 9,167 shares vest and become exercisable in equal monthly installments over the following 12 months, subject to continued service through each vesting date.

Remarks:

Chief Executive Officer, President and Director

/s/ Mark L Johnson, Attorney- 11/02/2017

in-Fact for David H. Wang

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.