SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Dun Haipin	lress of Reporting	Feison F	2. Date of Event Requiring Statement (Month/Day/Year) 11/02/2017 3. Issuer Name and Ticker or Trading Symbol <u>ACM Research, Inc.</u> [ ACMR ]								
(Last) (First) (Middle) C/O ACM RESEARCH, INC.		2.				tionship of Reporting Perso all applicable) Director	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
42307 OSGOOD ROAD, SUITE I						Officer (give title below)	Other (spe below)			lividual or Joint cable Line)	/Group Filing (Check
(Street) FREMONT	СА	94539							Х		y One Reporting Person y More than One erson
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Class A Common Stock						313,691	D				
Class B Common Stock						100,000	D				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
Expirat			Expiration Da			3. Title and Amount of Securiti Underlying Derivative Security		4. Convers or Exerc	ersion ercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivati Security	ve	or Indirect (I) (Instr. 5)	
Stock Option	Stock Option		(1)	05/01/2025	Cla	ss A Common Stock	166,667	1.5		D	

Explanation of Responses:

1. The option vested and became exercisable as to 41,666 shares on May 1, 2016 and the remaining 125,001 shares vest and become exercisable in equal monthly installments over the following 36 months, subject to continued service through each vesting date.

## **Remarks:**

/s/ Mark L. Johnson, Attorneyin-Fact for Haiping Dun 11/02/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.